

(alba)	Ap	plicati	on Number	1.0/814,844
	Fil	ing Da	ite	March 30, 2004
TRANSMITTAL FORM	Fir	st Nar	med Inventor	Jonathan J. Hull
(to be used for all correspondence during pendency of filed application)	of Gr	oup A	rt Unit Number	2162
	Ex	amine	r Name	Robert Stevens
Total Number of Pages in This Submission 8*	At	torney	Docket Number	20412-08497
ENCLOSURE	S (c	heck	all that apply	()
Fee Transmittal Form (in duplicate)			Issue Fee Transi	mittal
Check Enclosed			Letter to Chief Di	raftsperson
Return Receipt Postcard			Formal Drawing(	s):
Response to Notice to File Missing Parts		_	[ ] Sheet(s	of Figure(s) [ ]
Assignment & Recordation Cover Sheet Declaration			Appeal Commun Interferences	ication to Board of Appeals and
Power of Attorney			Appeal Commun	
Application Data Sheet		۱_		Brief, Reply Brief)
Supplemental Information Disclosure Statement PTO/SB/08A	&		Certified Copy of	Priority Document(s)
Copies of IDS Cited Non-Patent Refere	ences		After Allowance	Communication to Group
Request for Corrected Filing Receipt				
Request for Correction of Recorded Assignment				
Amendment/Response: [ ] Page(s)				
After Final			•	
Status Request		一		
Revocation and Substitute Power of Attorney		同		
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REMARKS: *Page count does not include cited refere	ences.			
SIGNATURE	F AT	TOR	NEY OR AGE	NT
Signature: (Lort 1. W	4			
Attorney/Reg. No.: Robert A. Hulse, Reg. No. 48,	473			Dated: Nw.3, 2006
CERTIF	ICATE	E OF	MAILING	
I hereby certify that this correspondence, including the enclosu first class mail in an envelope addressed to: Commissioner for If the Express Mail Mailing Number is filled in below, then this c "Express Mail Post Office to Addressee" service pursuant to 37	res ident Patents correspo	tified ab , P.O. E ndence	ove, is being deposit Box 1450, Alexandria	, VA 22313-1450 on the date shown below.
Signature: \ \Lant A.	WI			
Typed or Printed Name: Robert A. Hulse				Dated: Nav. 3, 2006
Express Mail Mailing Number (optional):	•			. /



## FEETRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 (\$) 180

TOTAL AMOUNT OF PAYMENT

	Complete if Known	
Application Number	10/814,844	<u> </u>
Filing Date	March 30, 2004	
First Named Inventor	Jonathan J. Hull	
Examiner Name	Robert Stevens	
Art Unit	2162	
Attorney Docket No.	20412-08497	

METHOD OF PAYME	NT (check all that apply)				F	EE CALCULATION (continued)	
Check Credit Card Deposit Account:	Money Order Other None	3. AD	DITION	AL FEE	s		
Deposit Account Number	19-2555	<u>Large (</u>	Entity	Small	Entity	Fee Description	Fee Paid
Deposit Account Name	Fenwick & West LLP	Fee	Fee	Fee	Fee		
The Commissioner is author	prized to: (check all that apply)	Code 1051	<b>(\$)</b> 130	2051	( <b>\$</b> ) 65	Surcharge - late filing fee or oath or declaration	
_	below 🛛 Credit any overpayments	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
	s) or any underpayment of fee(s) due	1053	130	1053	130	Non-English specification	
· · · · · · · · · · · · · · · · · ·	1.17 during the pendency of this	1812	2,520	1	2,520	For filing a request for ex parte reexamination	
_ ``	pelow, except for the filing fee to	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
the above-identified depo		1805	1.840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CA	LCULATION	1251	120	2251	60	Extension for reply within first month	-
1. BASIC FILING FEE		1252	450	2252	225	Extension for reply within second month	
Large Entity Small Entity		1253	1020	2253	510	Extension for reply within third month	
Fee Fee Fee	Fee Description Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	-
Code (\$) Code (\$)		1255	2,160	2255	1,080	Extension for reply within fifth month	
		1401	500	2401	250	Notice of Appeal	
		1402	500	2402	250	Filing a brief in support of an appeal	
		1403	1000	2403	500	Request for oral hearing	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	(A) 0	1452	500	2452	250	Petition to revive - unavoidable	
SUBTOT	AL (1) (\$) 0	1453	1,500	2453	750	Petition to revive - unintentional	
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)	
Extra	a Claims Fee from Fee Paid	1502	800	2502	400	Design issue fee	
Total Claims -20**=	x =	1503	1100	2503	550	Plant issue fee	
Independent Claims	x =	1460	_	1460		Petitions to the Director	
Multiple Dependent	=	1807	50	1807	50	Processing fee for Provisional Applications	
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt	180
Fee Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 50 2202 25	Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 200 2201 100	Independent claims in excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1203 360 2203 180	Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
l.aa. aaa laaaaa	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
1205 50 2205 25	**Reissue claims in excess of 20 and over original patent	Other fo	ee (specif	y)			
ѕивтот	AL (2) (\$) 0					SUBTOTAL (3) (\$) 180	
"or number previously paid, if g	greater; For Reissues, see above	•Reduce	ed by Basic	Filing F	ee Paid	00B101AL (0) (4) 100	

SUBMITTED BY					Complete (if app	licable)
Name (Print/Type)	Robert A. Hulse	Registration No. (Attorney/Agent)	48,473		Telephone (41	5) 875-2444
Signature	Routd. U	th		Date	Nov. 3	2006

NOV 07 2006 W

1FW

IN THE ENITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Jonathan J. Hull et al.

**APPLICATION NO.:** 

10/814,844

FILING DATE:

March 30, 2004

TITLE:

Printable Representations for Time-Based Media

**EXAMINER:** 

Robert Stevens

**GROUP ART UNIT:** 

2162

ATTY. DKT. NO.:

20412-08497

## **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Nov. 3, 2006

By

Robert A. Hulse, Reg. No.: 48,473

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**ALEXANDRIA, VA 22313-1450** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. A concise explanation in accordance with 37 CFR §1.98(a)(3) of the relevant portions of the cited reference that are not in the English language is believed to be set forth in the English abstract accompanying the reference.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry
into the national stage of an international application, or before the mailing
date of a first office action on the merits, whichever event last occurred;
before the mailing of a first official action after the filing of a request for
continued examination (RCE) under 37 CFR § 1.114;

$\boxtimes$	after t	hree mo	onths of the filing date of this national application or the date
	of enti	ry of the	e national stage in an international application, or after the
	mailin	g date	of the first official action on the merits, whichever event last
	occum	ed, but	before the mailing date of the first to occur of either: (1) a
	final a	ction u	nder 37 CFR §1.113; or (2) an action that otherwise closes
	prosec	cution in	n the application, and:
	$\boxtimes$	attacl	hed hereto is the fee set forth under 37 CFR §1.17(p) for
		subm	sission of this Information Disclosure Statement under 37
		CFR.	§ 1.97(c); OR
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication
			from a foreign patent office in a counterpart foreign
			application not more than three months prior to the filing of
			this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application
			and, to the knowledge of the person signing this
			certification after making reasonable inquiry, no item of
			information contained in this Statement was known to any
			individual designated under 37 CFR § 1.56(c) more than
			three months prior to the filing of this Statement;
	on or	before	the payment of the issue fee but after the mailing date of the
	first t	to occur	of either: (1) a final action under 37 CFR § 1.113; (2) a
	notic	e of allo	owance under 37 CFR § 1.311; or (3) an action that otherwise
	close	s prose	cution in the application, and:
		Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application
			and, to the knowledge of the person signing this

				certific	cation after making reasonable inquiry, no item of
				inform	nation contained in this Statement was known to any
				individ	dual designated under 37 CFR § 1.56(c) more than
				three r	nonths prior to the filing of this Statement; AND
				attached heret	o is the fee set forth under 37 CFR §1.17(p) for
				submission of	this Information Disclosure Statement under 37
				CFR.§ 1.97(d)	); OR
			after th	ne payment of t	he issue fee. Applicant requests that the information
			contain	ned in this Info	rmation Disclosure Statement be placed in the file
			accord	ing to 37 CFR	§ 1.97(i), although the information may not be
			consid	ered by the US	PTO.
		Enclos	sed is a	copy of each lis	sted reference that may be material to the
		exami	nation o	f this application	on, and for which there may be a duty to disclose.
		This a	pplication	on relies, under	35 U.S.C. § 120, on the earlier filing date of prior
		applica	ation No	o, file	d on, and the references cited therein are
		hereby	referen	iced, but are no	t required to be provided in this application under 37
		CFR §	1.98(d)	).	
		Copies	-	foreign patent	documents and non-patent literature cited herein are
		Each i	tem of i	nformation cor	ntained in this Information Disclosure Statement was
					m a foreign patent office in a counterpart application,
		and the	e comm	unication was	not received by any individual designated in 37 CFR
		§ 1.56	(c) more	e than thirty day	ys prior to the filing of this Information Disclosure
		Statem	nent. 37	CFR § 1.704(	d).
		Applic	ant sub	mits that no fee	e is required for the consideration of this Information
		Disclo	sure Sta	atement.	
	Consid	deration	of the l	isted reference	s and favorable action are solicited.
					Respectfully submitted,
					JONATHAN J. HULL ET AL.
Dated:	No	sv.3,	200	6	By: Cart A. II
					Robert A. Hulse, Reg. No.: 48,473 Fenwick & West LLP
					Silicon Valley Center
					801 California Street
					Mountain View, CA 94041
•					Tel.: (415) 875-2444 Fax.: (650) 938-5200
					(/

NOV 07 2006 Substitute for form Complete if Known Application No. 10/814,844 INFORMATION DISCLOSURE Filing Date March 30, 2004 STATEMENT BY APPLICANT First Named Inventor Jonathan J. Hull Art Unit 2162 **Examiner Name Robert Stevens** Attorney Docket Number Sheet 2 20412-08497

			U.S. PAT	ENT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,724,494	04-20-2004	Danknick
	A2	US-2003/160898 A1	08-28-2003	Baek et al.
	А3	US-2003/051214 A1	03-13-2003	Graham et al.
	A4	US-2002/171857 A1	11-21-2002	Hisatomi et al.
	A5	US-6,373,585	04-16-2002	Mastie et al.
	A6	US-2002-006100 A1	01-17-2002	Cundiff Sr. et al.
•	A7	US-2002/004807 A1	01-10-2002	Graham et al.
	A8	US-2001/037408 A1	11-10-2001	Thrift et al.
	A9	US-6,106,457	08-22-2000	Perkins et al.
	A10	US-5,987,226	11-16-1999	Ishikawa et al.
	A11	US-5,903,538	05-11-1999	Fujita et al.
	A12	US-5,774,260	06-30-1998	Petitto et al.
	A13	US-5,682,330	10-28-1997	Seaman et al.
	A14	US-5,444,476	08-22-1995	Conway et al.
	A15	US-4,907,973	03-13-1990	Hon et al.

FOREIGN PATENT DOCUMENTS					
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>€</sup>
	B1	CN 1097394C	12-25-2002	IBM Corp.	
	B2	EP 1133170 A2	09-12-2001	Sony Corporation	

		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	European Search Report, EP 04255836, September 12, 2006, 4 pages.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C2	European Search Report, EP 04255837, September 5, 2006, 3 pages.	
	C3	European Search Report, EP 04255839, September 4, 2006, 3 pages.	
	C4	European Search Report, EP 04255840, September 12, 2006, 3 pages.	

Examiner Signature	Date Considered	
•		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵Applicant is to place a check mark here if English language Translation is attached.